



THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.

10/612,806

Filed

July 2, 2003

Applicants

Allon G. Englman

Larry J. Pacey

Title

Joel R. Jaffe

:

Gaming Machine Having Multiple Level Progressive

Feature With Player Controlled Outcome

TC/A.U.

•

3713

Examiner

Not Assigned

Docket No.

:

47079-00208USPT

Customer No.

30223

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, postage prepaid, in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on May 24, 2004.

| /

Signature

Joanna Pinos

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

THIRD INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §§ 1.97 AND 1.98

In compliance with the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that this Third Information Disclosure Statement be entered, and the references listed on enclosed Form PTO-1449 be considered by the Examiner and made of record. In accordance with the waiver of 37 C.F.R. § 1.98(a)(2)(i) for submitting a copy of each cited U.S. patent and publication for all U.S. national patent applications filed after June 30, 2003, only copies of the foreign references and other documents are enclosed.

In accordance with 37 C.F.R. §§ 1.97(g) and (h), this Third Information Disclosure Statement is not to be construed as a representation that a search has been made, or an admission

Application No.: 10/612,806

Filing Date: 7/2/03

that the information disclosed is, or is considered to be, prior art with respect to the present

application or material to patentability, as defined in 37 C.F.R. § 1.56.

This Third Information Disclosure Statement is being filed prior to receipt of a first

Office Action reflecting an examination on the merits and, hence, is believed to be timely in

accordance with 37 C.F.R. § 1.97(b). Accordingly, no fee is believed to be due. Should any fee

be deemed necessary (except payment of the issue fee), however, the Commissioner is hereby

authorized to charge any additional fees which may be required, or credit any overpayment, to

Deposit Account No. 10-0447 (47079-00208USPT). A duplicate copy of this Statement is

enclosed for that purpose.

Respectfully submitted,

Date: November 16, 2004

James M. Wakely Reg. No. 48,597

Jenkens & Gilchrist

225 West Washington Street, Suite 2600

Chicago, IL 60606

(312) 425-3900

Attorney for Applicants

2

	(IPE)	
Form PTO-1449 (modified)	Atty. Docket No.	Serial No.
	2 2 7004 A7079-00208USPT	10/612,806
List of Patents and Publications for Appl	103 2 2004 7079-00208USPT	
THIRD INFORMATION DISCO	SURE Allon G. Engleman et al.	
STATEMENT	SURE Allon G. Engleman et al.	
	Filing Date:	Group: 3713
Page 1 of 1	July 2, 2003	

Exam.	Ref.	Document	Date	Patent Docui	Clas	ss Sub	Filing Date it
Init.	Des.	Number				Class	App.
	DI	5,851,147	12/22/98	Stupak et al.	46	3 13	
	D2	6,312,334 B1	11/6/01	Yoseloff	46	3 25	
	D3						
	D4						
	D5						
	D6						
			Foreign	Patent Doc	uments		
Exam. Init.	Ref. Des.	Document Number	Date	Country	Class	Sub Class	Translation Yes/No
	El	EP 1 380 998 A2	3/27/03	Europe			
	E2	GB 2 366 435	6/2/01	Great Britain			
	E3	GB 2 313 792	6/6/97	Great Britain			
	E4	WO 2004/023410 A2	3/18/04	PCT			
	E5						
	Oth	er Art (Inclu	ding Auth	nor, Title, Da	te Perti	nent Pages	s, Etc.)
Exam. Init.	Ref. Des.		Citation				

EXAMINER:	DATE CONSIDERED:

EXAMINER: INITIAL IF REFERENCE CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED. INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.